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08/16/00
jc883 U.S. PTO

Practitioner's Docket No. GR 97 P 2065 D

jc896 U.S. PTO
09/639962
08/16/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

DIVISIONAL APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of
Inventors:

WILHELM FRANK et al.

For (title):

PIEZOELECTRIC ASSEMBLY

1. Type of Application

This new application is for a

- ☒ Divisional.
- ☐ Continuation.
- ☐ Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application.
Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

A. Required for Filing Date under 37 C.F.R. 1.53(b)

- 17 Pages of Specification
- 2 Pages of Claims
- 6 Sheets of Drawing
- ☒ Formal
- ☐ Informal

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B. Other Papers Enclosed

 1 Page of Abstract
 Other

4. Additional Papers Enclosed

- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement (37 C.F.R. 1.98)
- ☒ Form PTO-1449 (PTO/SB/08A and 08B)
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. Declaration or Oath

- ☒ Enclosed
- Executed by
- ☒ inventors.

6. Inventorship Statement

The inventorship for all the claims in this application are:

- ☒ The same.

7. Language

- ☒ English

8. Assignment

- ☒ An assignment of the invention to Siemens Aktiengesellschaft
- ☒ will follow.

9. **Certified Copy**

Certified copies of applications

| | | |
|---------|-----------------|----------------|
| GERMANY | 197 33 858.5 | August 5, 1997 |
| Country | Application No. | Filed |

from which priority is claimed

- ☐ is (are) attached.
☒ will follow.
☐ was filed in parent application No.

11. **Fee Payment Being Made at This Time**

☒ Enclosed

| | |
|--|-----------|
| <input checked="" type="checkbox"/> Filing fee | \$ 690.00 |
| Total Fees Enclosed | \$ 690.00 |

12. **Method of Payment of Fees**

☒ Check in the amount of \$ 690.00.

15. **Authorization to Charge Additional Fees**

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-1099.

- ☒ 37 C.F.R. 1.16(a), (f) or (g) (filing fees)
- ☒ 37 C.F.R. 1.16(b), (c) and (d) (presentation of extra claims)
- ☒ 37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 CFR 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a).
- ☒ 37 C.F.R. 1.17 (application processing fees)
- ☐ 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))

16. Instructions as to Overpayment

☒ Credit Account No. 12-1099.

☐ Refund


SIGNATURE OF PRACTITIONER

WERNER H. STEMER
REG. NO. 34,956

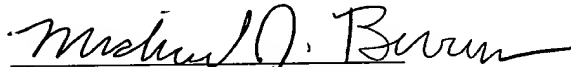
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/bb

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Date of Deposit: August 16, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


MICHAEL J. BURNS

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[x] Incorporation by reference of added pages

(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)

[x] Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed

Number of pages added 1

[x] Plus Added Pages for Papers Referred to in Item 4 Above

Number of pages added 3

[] Plus added pages deleting names of inventor(s) named on prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.

Number of pages added _____

[] Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added _____

[] Statement Where No Further Pages Added

(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item)

[] This transmittal ends with this page.

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ADDED PAGE FOR SPECIAL COMMENTS FOR NEW APPLICATION TRANSMITTAL

This divisional application claims the benefit under 35 U.S.C. § 120 of U.S. application No. 09/499,853, filed February 7, 2000

09/499,853 "09/499,853"

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

08/29/2000 JWASHINGTON 00000078 09639962

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